

TO: The Academic Senate
FROM: Rafael Martin
Chair, University Research Integrity Committee

SUBJECT: Annual Report of University Research Integrity Committee, 2016 –2017

I. Membership

Vice President for Research (Chair, ex-officio), Paul Fishwick (Vice-Chair), Marion Underwood (ex-officio), Stuart Cogan, Christine Dollaghan, Vijay Mookerjee, Thomas Riccio, Todd Sandler, Allan Sherry, Jason Slinker. Joseph Pancrazio represents the Executive Vice President and Provost (RUO).

II. Meetings

December 7, 2016: general meeting to discuss policies and procedures

March 30, 2017: special meeting to discuss a specific conflict of interest

June 20, 2017: quarterly meeting to discuss policies and procedures, review progress from March 30 special meeting

III. Actions Taken

The committee's primary action is the review and approval of conflict of interest management plans. These reviews are conducted through panels consisting of two URIC representatives, and the appropriate school dean. 8 management plans have been approved in the current fiscal year.

The panel also serves as a corrective body should a researcher violate their management plan. The primary purpose of the March and June 2017 meetings was to review the corrective actions recommended by a review panel for a particular conflict of interest situation.

The committee also advises the Office of Research Compliance (ORC) on procedures, forms, and other items used in the implementation of UTD's conflict of interest policies. The committee advised ORC on new policy trainings and conflict management documents at the December 2016 and June 2017 meetings. The policy trainings are now implemented campus-wide, and ORC has implemented the conflict management documents based on committee recommendations.

IV. Recommendations for Following Year

The committee and ORC will focus on COI education targeted at graduate students. ORC is developing educational materials and training, and will work with Graduate Studies to implement this program.

V. Supporting Documents

1. Meeting Agenda, December 7, 2016
2. Meeting Agenda, March 30, 2017
3. Meeting Agenda, June, 20 2017

University Research Integrity Committee
The University of Texas at Dallas
2:00-3:00 PM
December 7, 2016
Bluebonnet Conference Room (AD 3.108A)

Members

Bruce Gnade, Ph.D. (Chair)	Vice President of Research
Paul Fishwick, Ph.D. (Vice Chair)	Arts and Technology
Stuart Cogan, Ph.D.	Engineering and Computer Science
Christine Dollaghan, Ph.D.	Behavioral and Brain Sciences
Vijay Mookerjee, Ph.D.	Management
Joseph Pancrazio, Ph.D.	Office of the Executive Vice President and Provost
Thomas Riccio, Ph.D.	Arts and Humanities
Todd Sandler, Ph.D.	Economic, Political and Policy Sciences
A. Dean Sherry, Ph.D.	Natural Sciences and Mathematics
Jason Slinker, Ph.D.	Natural Sciences and Mathematics
Marion Underwood, Ph.D.	Dean of Graduate Studies

Guests

Rafael Martin	Associate Vice President	Office of Research
Sanaz Okhovat	Assistant Vice President	Office of Research Compliance
Conor Wakeman	Conflict of Interest Manager	Office of Research Compliance

Time Meeting Convened: 2:04

Time Meeting Adjourned: 3:00

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| I. Introduction | Rafael Martin |
| II. Update on UTD Conflict of Interest and Commitment Policies | Rafael Martin |
| a. Research Conflict of Interest (UTDPP1029) | |
| b. Outside Activity Policy (UTDPP1102) | |
| c. Revisions to COIC Policies | |
| III. Management Plan Updates | Conor Wakeman |
| a. FY17 Q1 COI Compliance Report | |
| IV. Policy Trainings | Conor Wakeman |
| a. COIC Policy Training for Faculty and Researchers | |
| b. COI Policy Training for Reviewers | |
| V. Conflict Review Documents | Conor Wakeman |
| a. Checklist for Conflict Management Plan Review | |
| b. Review for Related Research | |
| VI. Action Items | |

University Research Integrity Committee
The University of Texas at Dallas
9:00-10:00 AM
March 30, 2017
Student Union Room 2.504

Members

Rafael Martin (Chair)	Interim Vice President of Research
Paul Fishwick, Ph.D. (Vice Chair)	Arts and Technology
Stuart Cogan, Ph.D.	Engineering and Computer Science
Christine Dollaghan, Ph.D.	Behavioral and Brain Sciences
Vijay Mookerjee, Ph.D.	Management
Joseph Pancrazio, Ph.D.	Office of the Executive Vice President and Provost
Thomas Riccio, Ph.D.	Arts and Humanities
Todd Sandler, Ph.D.	Economic, Political and Policy Sciences
A. Dean Sherry, Ph.D.	Natural Sciences and Mathematics
Jason Slinker, Ph.D.	Natural Sciences and Mathematics
Marion Underwood, Ph.D.	Dean of Graduate Studies

Guests

Inga Musselman	Interim Provost	Office of the Executive Vice President and Provost
John Hansen	Associate Dean	Engineering and Computer Science
Sanaz Okhovat	Assistant Vice President	Office of Research Compliance
Conor Wakeman	Conflict of Interest Manager	Office of Research Compliance

Time Meeting Convened: 9:02

Time Meeting Adjourned: 9:58

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| I. Introduction | Rafael Martin |
| II. Conflict of Interest Determination – Walter Voit | Rafael Martin |
| a. Notice of Conflict of Interest Determination | |
| b. Revised Management Plan | |
| c. Supporting Documentation | |
| III. Conflict Investigation and Enforcement | Conor Wakeman |
| IV. Management Plan Updates | Conor Wakeman |
| a. FY17 Q2 COI Compliance Report | |
| V. Quarterly Meetings | |
| VI. Action Items | |

University Research Integrity Committee
The University of Texas at Dallas
10:30-11:30 AM
June 20, 2017
Lone Star Conference Room [AD 3.104]

Members

Rafael Martin (Chair)	Interim Vice President of Research
Paul Fishwick, Ph.D. (Vice Chair)	Arts and Technology
Stuart Cogan, Ph.D.	Engineering and Computer Science
Christine Dollaghan, Ph.D.	Behavioral and Brain Sciences
Vijay Mookerjee, Ph.D.	Management
Joseph Pancrazio, Ph.D.	Office of the Executive Vice President and Provost
Thomas Riccio, Ph.D.	Arts and Humanities
Todd Sandler, Ph.D.	Economic, Political and Policy Sciences
A. Dean Sherry, Ph.D.	Natural Sciences and Mathematics
Jason Slinker, Ph.D.	Natural Sciences and Mathematics
Marion Underwood, Ph.D.	Dean of Graduate Studies

Guests

Walter Voit, Ph.D.	Associate Professor	Engineering and Computer Science
Sanaz Okhovat	Assistant Vice President	Office of Research Compliance
Conor Wakeman	Conflict of Interest Manager	Office of Research Compliance

Time Meeting Convened: 10:32AM

Time Meeting Adjourned: 11:37AM

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| I. Introduction | Rafael Martin |
| II. Conflict of Interest Determination – Walter Voit | Rafael Martin, Walter Voit |
| a. Notice of Conflict of Interest Determination | |
| b. Revised Management Plan – ORC comments May 9 2017 | |
| III. Proposed Amendment to UT Systemwide Policy 175 | Rafael Martin |
| a. UTS175 – Proposed Public Reporting Revisions | |
| IV. Revisions to Research Personnel Disclosure Form | Conor Wakeman |
| a. Research Personnel Disclosure | |
| V. Management Plan Updates | Conor Wakeman |
| a. FY17 Q3 Compliance Report for Conflict of Interest Management | |
| VI. Interim Annual Report | Conor Wakeman |
| a. Interim RIC Annual Report FY17 | |
| VII. Quarterly Meetings | Conor Wakeman |
| VIII. Action Items | |